

# MATH 201: Linear Algebra Practice Midterm Exam

**Instructions.** Work without calculators or notes. Show clear reasoning. Make your solutions clearly visible.

## A. Basics & Computation

1. (Row reduction & rank/solutions) Consider the system in variables  $x_1, \dots, x_5$ :

$$\begin{aligned}x_1 + 2x_2 - x_4 + 3x_5 &= 4 \\x_2 + x_3 + 2x_4 - x_5 &= -1 \\2x_1 + x_3 + x_4 &= 3 \\x_2 - 3x_4 + 2x_5 &= 0 \\x_1 + x_3 &= 2\end{aligned}$$

- (a) Write the *augmented matrix* and row-reduce to (reduced) echelon form.  
(b) State the *rank* of the coefficient matrix and of the augmented matrix.  
(c) Describe the solution set: unique / none / infinitely many. If infinite, parametrize using free variable(s).

### Solution.

- (a) The augmented matrix is

$$\left[ \begin{array}{cccccc} 1 & 2 & 0 & 1 & 3 & 4 \\ 0 & 1 & 1 & 2 & -1 & -1 \\ 2 & 0 & 1 & 1 & 0 & 3 \\ 0 & 1 & 0 & -3 & 2 & 0 \\ 1 & 0 & 1 & 0 & 0 & 2 \end{array} \right]$$

- (b) To find the rank we must compute the rref.

$$\begin{aligned} & \left[ \begin{array}{cccccc} 1 & 2 & 0 & 1 & 3 & 4 \\ 0 & 1 & 1 & 2 & -1 & -1 \\ 2 & 0 & 1 & 1 & 0 & 3 \\ 0 & 1 & 0 & -3 & 2 & 0 \\ 1 & 0 & 1 & 0 & 0 & 2 \end{array} \right] \xrightarrow{\substack{R_3 \leftarrow R_3 - 2R_1 \\ R_5 \leftarrow R_5 - R_1}} \left[ \begin{array}{cccccc} 1 & 2 & 0 & 1 & 3 & 4 \\ 0 & 1 & 1 & 2 & -1 & -1 \\ 0 & -4 & 1 & -1 & -6 & -5 \\ 0 & 1 & 0 & -3 & 2 & 0 \\ 0 & -2 & 1 & -1 & -3 & -2 \end{array} \right] \\ & \xrightarrow{\substack{R_1 \leftarrow R_1 - 2R_2 \\ R_3 \leftarrow R_3 + 4R_2 \\ R_4 \leftarrow R_4 - R_2 \\ R_5 \leftarrow R_5 + 2R_2}} \left[ \begin{array}{cccccc} 1 & 0 & -2 & -3 & 5 & 6 \\ 0 & 1 & 1 & 2 & -1 & -1 \\ 0 & 0 & 5 & 7 & -10 & -9 \\ 0 & 0 & -1 & -5 & 3 & 1 \\ 0 & 0 & 3 & 3 & -5 & -4 \end{array} \right] \end{aligned}$$

$$R_4 \begin{array}{l} \leftarrow \\ \rightarrow \end{array} R_4 \begin{bmatrix} 1 & 0 & -2 & -3 & 5 & 6 \\ 0 & 1 & 1 & 2 & -1 & -1 \\ 0 & 0 & 5 & 7 & -10 & -9 \\ 0 & 0 & 1 & 5 & -3 & -1 \\ 0 & 0 & 3 & 3 & -5 & -4 \end{bmatrix}$$

$$\begin{array}{l} R_1 \leftarrow R_1 + 2R_4 \\ R_2 \leftarrow R_2 - R_4 \\ R_3 \leftarrow R_3 - 5R_4 \\ R_5 \leftarrow R_5 - 3R_4 \end{array} \begin{array}{l} \leftarrow \\ \rightarrow \end{array} \begin{bmatrix} 1 & 0 & 0 & 7 & -1 & 4 \\ 0 & 1 & 0 & -3 & 2 & 0 \\ 0 & 0 & 0 & -18 & 5 & -4 \\ 0 & 0 & 1 & 0 & -29/18 & -19/9 \\ 0 & 0 & 0 & -12 & 4 & -1 \end{bmatrix}$$

$$R_3 \begin{array}{l} \leftarrow \\ \rightarrow \end{array} \frac{1}{18} R_3 \begin{bmatrix} 1 & 0 & 0 & 7 & -1 & 4 \\ 0 & 1 & 0 & -3 & 2 & 0 \\ 0 & 0 & 0 & 1 & -5/18 & 2/9 \\ 0 & 0 & 1 & 0 & -29/18 & -19/9 \\ 0 & 0 & 0 & -12 & 4 & -1 \end{bmatrix}$$

$$\begin{array}{l} R_1 \leftarrow R_1 - 7R_3 \\ R_2 \leftarrow R_2 + 3R_3 \\ R_4 \leftarrow R_4 - 5R_3 \\ R_5 \leftarrow R_5 + 12R_3 \end{array} \begin{array}{l} \leftarrow \\ \rightarrow \end{array} \begin{bmatrix} 1 & 0 & 0 & 0 & 17/18 & 22/9 \\ 0 & 1 & 0 & 0 & 7/6 & 2/3 \\ 0 & 0 & 0 & 1 & 0 & 11/12 \\ 0 & 0 & 1 & 0 & -29/18 & -19/9 \\ 0 & 0 & 0 & 0 & 2/3 & 5/3 \end{bmatrix}$$

$$R_5 \begin{array}{l} \leftarrow \\ \rightarrow \end{array} \frac{3}{2} R_5 \begin{bmatrix} 1 & 0 & 0 & 0 & 17/18 & 22/9 \\ 0 & 1 & 0 & 0 & 7/6 & 2/3 \\ 0 & 0 & 0 & 1 & 0 & 11/12 \\ 0 & 0 & 1 & 0 & -29/18 & -19/9 \\ 0 & 0 & 0 & 0 & 1 & 5/2 \end{bmatrix}$$

$$\begin{array}{l} R_1 \leftarrow R_1 - \frac{17}{18} R_5 \\ R_2 \leftarrow R_2 - \frac{7}{6} R_5 \\ R_4 \leftarrow R_4 + \frac{29}{18} R_5 \end{array} \begin{array}{l} \leftarrow \\ \rightarrow \end{array} \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1/12 \\ 0 & 1 & 0 & 0 & 0 & -9/4 \\ 0 & 0 & 0 & 1 & 0 & 11/12 \\ 0 & 0 & 1 & 0 & 0 & 23/12 \\ 0 & 0 & 0 & 0 & 1 & 5/2 \end{bmatrix}$$

$$R_4 \begin{array}{l} \leftarrow \\ \rightarrow \end{array} R_3 \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1/12 \\ 0 & 1 & 0 & 0 & 0 & -9/4 \\ 0 & 0 & 1 & 0 & 0 & 23/12 \\ 0 & 0 & 0 & 1 & 0 & 11/12 \\ 0 & 0 & 0 & 0 & 1 & 5/2 \end{bmatrix}$$

The rref of the coefficient matrix is the 5 by 5 identity matrix. Thus the rank is 5. The augmented matrix therefore also has rank 5, since the system is *consistent*.

**Note:** On the real exam, I will *not* ask you to find the rref of such a large matrix. However, I *might* ask you to do it for a smaller (2 by 2 or 3 by 3 at most) matrix.

(c) There is a unique solution.

2. (Matrix arithmetic) Let

$$A = \begin{bmatrix} 1 & 2 & 0 \\ -1 & 3 & 1 \end{bmatrix}, \quad B = \begin{bmatrix} 2 & 0 \\ 1 & -1 \\ 4 & 2 \end{bmatrix}, \quad \vec{v} = \begin{bmatrix} 1 \\ -2 \\ 3 \end{bmatrix}.$$

Compute: (i)  $AB$ , (ii)  $A\vec{v}$ , (iii)  $BA$ , (iv)  $B\vec{v}$ .

**Solution.**

(i)

$$AB = \begin{bmatrix} 2+2+0 & 0-2+0 \\ -2+3+4 & 0-3+2 \end{bmatrix} = \begin{bmatrix} 4 & -2 \\ 5 & -1 \end{bmatrix}$$

(ii)

$$A\vec{v} = \begin{bmatrix} 1-2+0 \\ -1-6+3 \end{bmatrix} = \begin{bmatrix} -1 \\ -4 \end{bmatrix}$$

(iii)

$$\begin{bmatrix} 2+0 & 4+0 & 0+0 \\ 1+1 & 2-3 & 0-1 \\ 4-2 & 8+6 & 0+2 \end{bmatrix} = \begin{bmatrix} 2 & 4 & 0 \\ 2 & -1 & -1 \\ 2 & 14 & 2 \end{bmatrix}$$

(iv)  $B\vec{v}$  is not defined because  $B$  corresponds to a linear transformation  $T_B : \mathbb{R}^2 \rightarrow \mathbb{R}^3$  and  $\vec{v} \in \mathbb{R}^3$ .

3. (2D transformations: linear or not?) For each  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  below, decide if  $T$  is linear. If linear, give a matrix for  $T$ , compute the inverse if possible and find a *basis* for the image and kernel.

(a)  $T_1 \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} y \\ x \end{bmatrix}$

**Solution.** Linear.  $A_1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ . Since  $A$  is the identity matrix,  $A^{-1} = A$ , a basis for the image is  $\mathbb{R}^2$ . A basis for  $\mathbb{R}^2$  is  $\left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\}$ . The *kernel* of  $A$  is trivial. Thus a basis for the kernel is  $\{\vec{0}\}$ .

(b)  $T_2 \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x+3 \\ y \end{bmatrix}$

**Solution.** Not linear. This function is a *translation*. Note that

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} a \\ c \end{bmatrix} = \begin{bmatrix} 4 \\ 0 \end{bmatrix} \Rightarrow a = 4 \quad c = 0$$

and

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} b \\ d \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \Rightarrow b = 0 \quad d = 1$$

but

$$\begin{bmatrix} 4 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 0 \end{bmatrix} = \begin{bmatrix} 8 \\ 0 \end{bmatrix} \neq \begin{bmatrix} 5 \\ 0 \end{bmatrix}.$$

Thus no matrix represents  $T$ .

$$(c) T_3 \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x - 2y \\ x + y \end{bmatrix}$$

**Solution.** Linear.

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} a \\ c \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \end{bmatrix} \Rightarrow a = 1 \quad c = 1$$

and

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} b \\ d \end{bmatrix} = \begin{bmatrix} -2 \\ 1 \end{bmatrix} \Rightarrow b = -2 \quad d = 1.$$

Furthermore, we see that

$$\begin{bmatrix} 1 & -2 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x - 2y \\ x + y \end{bmatrix} = T_3 \begin{bmatrix} x \\ y \end{bmatrix}$$

as desired. Note that the matrix is invertible because its second column is not a constant multiple of its first. We compute  $A^{-1}$ .

$$A^{-1} = \frac{1}{ad - bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix} = \frac{1}{1 - (-2)} \begin{bmatrix} 1 & 2 \\ -1 & 1 \end{bmatrix} = \frac{1}{3} \begin{bmatrix} 1 & 2 \\ -1 & 1 \end{bmatrix}.$$

Since  $A$  is invertible,  $T$  is injective and surjective. Therefore the image of  $T$  is  $\mathbb{R}^2$  and the kernel of  $T$  is  $\{\vec{0}\}$  as before.

$$(d) T_4 \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2x \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ y \end{bmatrix}$$

**Solution.** Linear. Note that  $T_4 \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2x \\ y \end{bmatrix}$  and

$$\begin{bmatrix} 2 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2x \\ y \end{bmatrix}.$$

Thus this matrix represents  $T$ . This matrix is also clearly invertible since its column vectors are linearly independent. We compute  $A^{-1}$ .

$$\left[ \begin{array}{cc|cc} 2 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{array} \right] \xrightarrow{(1/2)R_1 \rightarrow R_1} \left[ \begin{array}{cc|cc} 1 & 0 & 1/2 & 0 \\ 0 & 1 & 0 & 1 \end{array} \right].$$

Thus

$$A^{-1} = \begin{bmatrix} 1/2 & 0 \\ 0 & 1 \end{bmatrix}.$$

Again, since  $T$  is invertible, its image and kernel are the same as for parts  $a$  and  $c$ .

$$(e) T_5 \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2x - y \\ 0 \end{bmatrix}$$

**Solution.**

$$\begin{bmatrix} 2 & -1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 2x - y \\ 0 \end{bmatrix} = T_5 \begin{bmatrix} x \\ y \end{bmatrix}.$$

So the kernel of  $T_5$  are those vectors satisfying

$$2x - y = 0 \quad \Rightarrow \quad y = 2x.$$

Thus  $T$  is *not* linear. It has a nontrivial kernel. Note the relation between the column vectors of  $A$ .

$$\vec{c}_1 = -2\vec{c}_2 \quad \Rightarrow \quad \vec{c}_1 + 2\vec{c}_2 = \vec{0}.$$

Thus

$$\ker T_5 = \text{span} \left\{ \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right\}.$$

On the other hand, the *image* of  $T_5$  is the span of the column vectors. Thus

$$\text{im} T_5 = \text{span} \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right\}.$$

## B. Concept Checks

4. (**Images and kernels**) Let  $L : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be given by

$$L \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} x + y \\ y + z \\ x + z \end{bmatrix}.$$

(a) Find  $\ker L$  and  $\text{im} L$  and their dimensions.

**Solution.** We begin by finding the matrix  $A$  satisfying  $L(\vec{x}) = A\vec{x}$ . We have

$$L(\vec{x}) = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} x + y \\ y + z \\ x + z \end{bmatrix}.$$

Next, label each column vector

$$\vec{c}_1 = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \quad \vec{c}_2 = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}, \quad \vec{c}_3 = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}.$$

Next, compute  $\text{rref}(A)$ .

$$\begin{aligned} & \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 1 \end{bmatrix} \xrightarrow{-R_1+R_3 \rightarrow R_3} \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & -1 & 1 \end{bmatrix} \xrightarrow{R_2+R_3 \rightarrow R_3} \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 2 \end{bmatrix} \\ & \xrightarrow{\frac{1}{2}R_3 \rightarrow R_3} \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} \xrightarrow{-R_3+R_2 \rightarrow R_2} \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \xrightarrow{-R_2+R_1 \rightarrow R_1} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}. \end{aligned}$$

Since  $\text{rref}(A) = I_3$ , we see that  $L$  is injective. Therefore,  $\ker L = \{\vec{0}\}$  and  $\dim \ker L = 0$ . The *image* of  $L$  is

$$\text{span} \{\vec{c}_1, \vec{c}_2, \vec{c}_3\} = \mathbb{R}^3$$

so  $\dim \text{im} L = 3$ .

**Alternative solution:** We can show that  $L$  is injective directly. Suppose that  $L(\vec{x}_1) = L(\vec{x}_2)$ . Then

$$\begin{aligned} \begin{bmatrix} x_1 + y_1 \\ y_1 + z_1 \\ x_1 + z_1 \end{bmatrix} &= \begin{bmatrix} x_2 + y_2 \\ y_2 + z_2 \\ x_2 + z_2 \end{bmatrix} \\ \Rightarrow y_1 + z_1 &= y_2 + z_2 \quad \text{and} \quad x_1 + z_1 = x_2 + z_2 \\ \Rightarrow y_2 + z_2 - y_1 &= x_2 + z_2 - x_1 \quad (\text{solving for } z_1 \text{ and equating}) \\ \Rightarrow y_2 - y_1 &= x_2 - x_1 \quad (\text{cancelling } z_2 \text{ on both sides}) \\ \Rightarrow x_1 - x_2 &= x_1 - x_2 \quad (\text{using the first equation}) \\ \Rightarrow x_1 = x_2, \quad y_1 &= y_2 \quad \text{and} \quad z_1 = z_2. \end{aligned}$$

Therefore  $\vec{x}_1 = \vec{x}_2$  and  $L$  is injective. This implies that  $\dim \text{Im} L = 3$ .

(b) Verify (by computing ranks/dimensions) that  $\dim(\ker L) + \text{rank}(L) = 3$ .

**Solution.** This is apparent from the answer to part (a).

The following theorem will be useful for solving the next problem. It is *good to know* and the proof is *very instructive!*

**Lemma 0.1.** Let  $u \in \mathbb{R}^2$  be a unit vector and let  $J = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  (rotation by  $+90^\circ$ ). If  $v$  is a unit vector with  $u \cdot v = 0$ , then  $v = \pm Ju$ .

*Proof.* Write  $u = (\cos \theta, \sin \theta)$ . The unit vectors orthogonal to  $u$  are exactly

$$(-\sin \theta, \cos \theta) = Ju \quad \text{and} \quad (\sin \theta, -\cos \theta) = -Ju,$$

so  $v = \pm Ju$ . □

**Theorem 0.2.** Let  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be linear and suppose  $T$  preserves lengths and angles (i.e. for all nonzero  $x, y$ ,  $\|Tx\| = \|x\|$  and  $\angle(Tx, Ty) = \angle(x, y)$ ). Then exactly one of the following holds:

(a) (**Rotation form**) There exists  $\theta \in \mathbb{R}$  such that

$$T = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}.$$

(b) (**Reflection form**) There exists  $\theta \in \mathbb{R}$  such that

$$T = \begin{pmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{pmatrix},$$

which is the reflection across the line making angle  $\frac{\theta}{2}$  with the  $x$ -axis.

*Proof.* Let  $e_1 = (1, 0)$  and  $e_2 = (0, 1)$ . Since  $T$  preserves lengths and angles, the images  $u := Te_1$  and  $v := Te_2$  satisfy

$$\|u\| = \|v\| = 1 \quad \text{and} \quad \angle(u, v) = \angle(e_1, e_2) = \frac{\pi}{2}.$$

Hence  $u$  and  $v$  are unit and perpendicular.

Write a unit vector  $u$  as  $u = (\cos \alpha, \sin \alpha)$ . The unit vectors perpendicular to  $u$  are exactly  $Ju$  and  $-Ju$ , where

$$J = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \text{so that} \quad Ju = (-\sin \alpha, \cos \alpha).$$

Thus there are precisely two possibilities for the pair  $(u, v)$ :

$$(u, v) = (u, Ju) \quad \text{or} \quad (u, v) = (u, -Ju).$$

Because the columns of the matrix of  $T$  are  $Te_1 = u$  and  $Te_2 = v$ , these two cases give:

$$T = [u \quad Ju] = \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix} \quad \text{or} \quad T = [u \quad -Ju] = \begin{pmatrix} \cos \alpha & \sin \alpha \\ \sin \alpha & -\cos \alpha \end{pmatrix}.$$

In the first case,  $T$  maps the standard orthonormal basis  $(e_1, e_2)$  to the orthonormal basis obtained from it by a rigid turn through angle  $\alpha$ , so  $T$  is rotation by  $\alpha$  and has the standard rotation matrix.

In the second case,  $T$  keeps the component along the line  $\mathbb{R}u$  and flips the component along the perpendicular direction  $Ju$ ; hence  $T$  is the reflection across  $\mathbb{R}u$ . Writing  $u = (\cos(\theta/2), \sin(\theta/2))$  (so  $\theta = 2\alpha$ ) yields exactly the displayed reflection form  $\begin{pmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{pmatrix}$ .

This proves that every length/angle-preserving linear map in  $\mathbb{R}^2$  is either a rotation in the first form or a reflection in the second form □

### Consequences.

- Every rotation matrix in  $\mathbb{R}^2$  can be written as  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$  for some  $\theta \in \mathbb{R}$ .
- Every reflection matrix in  $\mathbb{R}^2$  can be written as  $\begin{pmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{pmatrix}$  for some  $\theta \in \mathbb{R}$ .

5. (**Composition**) In  $\mathbb{R}^2$ , let  $R_\theta$  denote rotation counterclockwise by angle  $\theta$  about the origin, and let  $H$  be reflection across the line spanned by  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$ .

(a) Write the matrices of  $R_{\pi/4}$  and  $H$ .

**Solution.** We have

$$R_{\pi/4} = \begin{bmatrix} \cos \pi/4 & -\sin \pi/4 \\ \sin \pi/4 & \cos \pi/4 \end{bmatrix} = \frac{\sqrt{2}}{2} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix}$$

and

$$H = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}.$$

- (b) Compute the matrix for the composition  $R_{\pi/4} \circ H$ . Is this composition itself a rotation, a reflection, or neither? Justify briefly.

**Solution.** Set

$$A = R_{\pi/4} \circ H = \frac{\sqrt{2}}{2} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \frac{\sqrt{2}}{2} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

If  $A$  is a rotation matrix then there exists  $\theta$  such that

$$\frac{\sqrt{2}}{2} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

This would imply that  $\sin \theta = -\sin \theta = \frac{\sqrt{2}}{2}$  which is absurd. Therefore  $A$  is *not* a rotation matrix. On the other hand, if  $A$  is a reflection matrix, then there exists an angle  $\theta$  such that

$$\frac{\sqrt{2}}{2} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{bmatrix}.$$

This implies

$$\cos \theta = \sin \theta = \frac{\sqrt{2}}{2}.$$

In other words,  $A$  is the matrix which reflects vectors across the line spanned by  $\begin{bmatrix} \cos \pi/8 \\ \sin \pi/8 \end{bmatrix}$ .

6. (**Powers of a matrix**) Let  $S : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be given by

$$S = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

Compute  $S$ , then determine a simple closed form for  $S^n$  for all integers  $n \geq 1$ . Explain the pattern in one or two sentences.

**Solution.**

$$S = \begin{bmatrix} 0+0 & 0+1 \\ 1+0 & 0+0 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$S^2 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 0+1 & 0+0 \\ 0+0 & 1+0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I_2$$

$$S^3 = SS^2 = SI_2 = S$$

$$S^4 = S^2S^2 = I_2I_2 = I_2$$

$$S^5 = SS^4 = SI_2 = S.$$

In general...

$$S^{2n} = (S^2)^n = I_2^n = I_2$$

$$S^{2n+1} = S^{2n}S = I_2S = S.$$

## C. Applications

7. (**prescribed image and kernel**). Construct a linear transformation  $T : \mathbb{R}^4 \rightarrow \mathbb{R}^4$  such that

$$\ker T = \operatorname{span} \left\{ \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} \right\} \quad \text{and} \quad \operatorname{im} T = \operatorname{span} \left\{ \begin{bmatrix} 1 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 1 \end{bmatrix} \right\}.$$

Give a matrix representation of the matrix you construct in the standard basis for  $\mathbb{R}^4$ .

**Solution.** Let

$$\vec{b}_1 = \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \quad \vec{b}_2 = \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \quad \vec{b}_3 = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \quad \vec{b}_4 = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}.$$

Note that  $\operatorname{span} \{ \vec{b}_1, \vec{b}_2, \vec{b}_3, \vec{b}_4 \} = \mathbb{R}^4$ . We define  $T$  by requiring that

$$T \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix} = T \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}.$$

and

$$T \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 1 \\ 0 \end{bmatrix} \quad T \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 0 \\ 1 \end{bmatrix}.$$

This is enough to uniquely determine  $T$ . The matrix corresponding to this transformation can be found by noting that

$$\begin{aligned} T(\vec{e}_1) &= T(\vec{b}_3) = \langle 1, 0, 1, 0 \rangle \\ T(\vec{e}_2) &= T(\vec{b}_1 - \vec{b}_3) = -\langle 1, 0, 1, 0 \rangle \\ T(\vec{e}_3) &= T(\vec{b}_2) = \vec{0} \\ T(\vec{e}_4) &= T(\vec{b}_4) = \vec{0}. \end{aligned}$$

where  $\{e_i\}$  is the standard basis. Therefore,

$$A = \begin{bmatrix} 1 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

8. (**Fitting a parameter**) Consider vectors in  $\mathbb{R}^3$ :

$$\vec{a} = \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \quad \vec{b} = \begin{bmatrix} 2 \\ 1 \\ -1 \end{bmatrix}, \quad \vec{c} = \begin{bmatrix} 4 \\ 1 \\ k \end{bmatrix}.$$

For which real numbers  $k$  does the system  $x\vec{a} + y\vec{b} = \vec{c}$  have (i) no solution, (ii) a unique solution, (iii) infinitely many solutions? Answer by using rank/consistency (do not use determinants).

**Solution.** The system  $x\vec{a} + y\vec{b} = \vec{c}$  can be written as

$$\begin{bmatrix} 1 & 2 \\ 0 & 1 \\ 1 & -1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} 4 \\ 1 \\ k \end{bmatrix}.$$

The augmented matrix of this system is therefore

$$\begin{bmatrix} 1 & 2 & 4 \\ 0 & 1 & 1 \\ 1 & -1 & k \end{bmatrix}.$$

We put this matrix into reduced row-echelon form.

$$\begin{aligned} \begin{bmatrix} 1 & 2 & 4 \\ 0 & 1 & 1 \\ 1 & -1 & k \end{bmatrix} &\xrightarrow{-R_1+R_3 \rightarrow R_3} \begin{bmatrix} 1 & 2 & 4 \\ 0 & 1 & 1 \\ 0 & -3 & k-4 \end{bmatrix} \xrightarrow{3R_2+R_3 \rightarrow R_3} \begin{bmatrix} 1 & 2 & 4 \\ 0 & 1 & 1 \\ 0 & 0 & k-4+3 \end{bmatrix} \\ &\xrightarrow{\substack{\frac{1}{(k-1)}R_3 \rightarrow R_3 \\ -2R_2+R_1 \rightarrow R_1}} \begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} \xrightarrow{\substack{-2R_3+R_1 \rightarrow R_1 \\ -R_3+R_2 \rightarrow R_2}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}. \end{aligned}$$

(i) The above process is well-defined as long as  $k \neq 1$ . If  $k = 1$ , then the third step causes us to divide by zero. Therefore, the system has **no solution** if and only if  $k \neq 1$ . The reason being that the above matrix is the *augmented* matrix of the system and thus implies that  $0 = 1$ .

(ii) The system has a *unique* solution when  $k = 1$ . In this case, the rref of the augmented matrix is

$$\begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}.$$

Therefore the only solution is  $\begin{bmatrix} 2 \\ 1 \end{bmatrix}$ .

(iii) A necessary condition for the system to have infinite solutions is that the *column vectors* of the *coefficient matrix* must be linearly *dependent*. The column vectors do *not* depend on  $k$  and are not linearly dependent. Since there are only two, we need only check that one is not a constant multiple of the other. Thus the system never has infinitely many solutions.

9. (**Geometric interpretation**) Let  $P$  be the projection in  $\mathbb{R}^3$  onto the plane  $x + y + z = 0$  along the direction  $\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ .

- Explain (in words) what  $P$  does to a general vector  $\vec{v}$ .
- Find a  $3 \times 3$  matrix for  $P$ .

(c) Calculate  $P^2$ .

**Solution.**

(a) Let  $V$  be the plane described by  $x + y + z = 0$ . Any vector  $\vec{v}$  in  $\mathbb{R}^3$  can be written as a sum  $\vec{v} = \vec{v}_V + \vec{v}_{V^\perp}$  where  $\vec{v}_V$  is contained in  $V$  and  $\vec{v}_{V^\perp}$  is perpendicular to  $V$ . The transformation  $P$  sends  $\vec{v}$  to  $\vec{v}_V$ . In other words,  $P(\vec{v})$  corresponds to the *unique* point in  $V$  that lies on the line parallel to  $\langle 1, 1, 1 \rangle$  and passing through the tip of  $\vec{v}$ .

(b) First note that the line mentioned in part (a) is given by

$$P(\vec{v}) = \vec{v} + t\vec{u}$$

where here,  $\vec{u} = \frac{1}{\sqrt{3}}\langle 1, 1, 1 \rangle$ . We compute the columns of  $A_P$  by computing  $P$  on the standard basis vectors. We have

$$P(\vec{e}_1) = \langle 1, 0, 0 \rangle + \langle t, t, t \rangle = \langle t + 1, t, t \rangle.$$

Since  $P(\vec{e}_1)$  is by definition contained in  $V$ , we have

$$\langle t + 1, t, t \rangle \cdot \langle 1, 1, 1 \rangle = 3t + 1 = 0 \quad \implies \quad t = -\frac{1}{3}.$$

Thus,

$$P(\vec{e}_1) = \left\langle -\frac{1}{3} + 1, -\frac{1}{3}, -\frac{1}{3} \right\rangle = \left\langle \frac{2}{3}, -\frac{1}{3}, -\frac{1}{3} \right\rangle$$

A similar calculation shows that

$$P(\vec{e}_2) = \left\langle -\frac{1}{3}, \frac{2}{3}, -\frac{1}{3} \right\rangle \quad \text{and} \quad P(\vec{e}_3) = \left\langle -\frac{1}{3}, -\frac{1}{3}, \frac{2}{3} \right\rangle.$$

Thus

$$A_P = \frac{1}{3} \begin{bmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{bmatrix}.$$

**Formula.** The *formula* for this is

$$\text{proj}_{\text{plane}}(\vec{v}) = \vec{v} - \frac{\vec{v} \cdot \vec{n}}{\vec{n} \cdot \vec{n}} \vec{n}$$

In our case,  $\vec{n} = \langle 1, 1, 1 \rangle$ . Thus we have

$$\begin{aligned} P(\vec{e}_1) &= \langle 1, 0, 0 \rangle - \frac{1}{3} \langle 1, 1, 1 \rangle = \left\langle \frac{2}{3}, -\frac{1}{3}, -\frac{1}{3} \right\rangle \\ P(\vec{e}_2) &= \langle 0, 1, 0 \rangle - \frac{1}{3} \langle 1, 1, 1 \rangle = \left\langle -\frac{1}{3}, \frac{2}{3}, -\frac{1}{3} \right\rangle \\ P(\vec{e}_3) &= \langle 0, 0, 1 \rangle - \frac{1}{3} \langle 1, 1, 1 \rangle = \left\langle -\frac{1}{3}, -\frac{1}{3}, \frac{2}{3} \right\rangle \end{aligned}$$

as before.

**Alternate Solution:** We solve the question using the concept of an *adapted basis*. Let  $V \subset \mathbb{R}^3$  be a plane in  $\mathbb{R}^3$  passing through the origin. Let  $\vec{n}$  be the normal vector. Let  $\mathcal{B} = \{\vec{u}_1, \vec{u}_2, \vec{u}_3\}$  be a basis for  $V$  such that

- $\vec{u}_i \perp \vec{u}_j$  for  $i \neq j$ . (Each element of the basis is orthogonal to each other element).
- $|\vec{u}_i| = 1$  (Each element of the basis has unit length).
- $\vec{u}_1 = \frac{\vec{n}}{|\vec{n}|}$ . (The first element of the basis is the unit vector pointing in the direction of  $\vec{n}$ ).

Define

$$S = \begin{bmatrix} | & | & | \\ \vec{u}_1 & \vec{u}_2 & \vec{u}_3 \\ | & | & | \end{bmatrix} \quad \text{and} \quad S^\top = \begin{bmatrix} - & \vec{u}_1 & - \\ - & \vec{u}_2 & - \\ - & \vec{u}_3 & - \end{bmatrix}.$$

Note that

$$\begin{bmatrix} - & \vec{u}_1 & - \\ - & \vec{u}_2 & - \\ - & \vec{u}_3 & - \end{bmatrix} \begin{bmatrix} | & | & | \\ \vec{u}_1 & \vec{u}_2 & \vec{u}_3 \\ | & | & | \end{bmatrix} = \begin{bmatrix} \vec{u}_1 \cdot \vec{u}_1 & \vec{u}_1 \cdot \vec{u}_2 & \vec{u}_1 \cdot \vec{u}_3 \\ \vec{u}_2 \cdot \vec{u}_1 & \vec{u}_2 \cdot \vec{u}_2 & \vec{u}_2 \cdot \vec{u}_3 \\ \vec{u}_3 \cdot \vec{u}_1 & \vec{u}_3 \cdot \vec{u}_2 & \vec{u}_3 \cdot \vec{u}_3 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = I_3.$$

Thus  $S^\top = S^{-1}$ . Let  $\vec{v} \in \mathbb{R}^3$  be arbitrary. Then we know (from class) that

$$[\vec{v}]_{\mathcal{B}} = \begin{bmatrix} a \\ b \\ c \end{bmatrix} = S^\top \vec{v}.$$

The orthogonal projection of  $\vec{v}$  onto  $V$  sends the component of  $[\vec{v}]_{\mathcal{B}}$  *normal to  $V$*  to zero. That is,

$$[P(\vec{v})]_{\mathcal{B}} = \begin{bmatrix} 0 \\ b \\ c \end{bmatrix}$$

since we defined  $\vec{u}_1 = \hat{n}$ . Define

$$A = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

Then

$$[P(\vec{v})]_{\mathcal{B}} = AS^\top(\vec{v}).$$

In order to return to standard coordinates, we multiply both sides by  $S$ , as usual. We have:

$$P(\vec{v}) = SAS^\top(\vec{v}).$$

We now check that this works out in the given exercise. We want to compute

$$P(\vec{e}_1) = SAS^{-1}\vec{e}_1.$$

Note that

$$SAS^\top = S(I - E_{11})S^\top,$$

where  $E_{11}$  is the matrix with a 1 in the (1,1)-entry and zeros elsewhere. Expanding gives

$$SAS^\top = SIS^\top - SE_{11}S^\top.$$

Since  $S$  is orthogonal,  $SIS^\top = I$ . Also,

$$SE_{11}S^\top = (Se_1)(Se_1)^\top = \vec{u}_1\vec{u}_1^\top.$$

Hence

$$SAS^\top = I - \vec{u}_1\vec{u}_1^\top.$$

This makes geometric sense:  $I - \vec{u}_1\vec{u}_1^\top$  subtracts off the component of a vector parallel to  $\vec{u}_1$ , leaving only the part lying in the plane orthogonal to  $\vec{u}_1$ .

Now check this for the concrete orthonormal basis

$$\vec{u}_1 = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \quad \vec{u}_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix}, \quad \vec{u}_3 = \frac{1}{\sqrt{6}} \begin{bmatrix} 1 \\ 1 \\ -2 \end{bmatrix}.$$

Then

$$S = \begin{bmatrix} \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{6}} \\ \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{6}} \\ \frac{1}{\sqrt{3}} & 0 & -\frac{2}{\sqrt{6}} \end{bmatrix}, \quad S^{-1} = S^\top.$$

We compute

$$P(\vec{e}_1) = SAS^{-1}\vec{e}_1 = SAS^\top\vec{e}_1 = (I - \vec{u}_1\vec{u}_1^\top)\vec{e}_1.$$

Explicitly,

$$\vec{u}_1\vec{u}_1^\top = \frac{1}{3} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}, \quad \Rightarrow \quad P(\vec{e}_1) = \begin{bmatrix} \frac{2}{3} \\ -\frac{1}{3} \\ -\frac{1}{3} \end{bmatrix} = \frac{1}{3} \begin{bmatrix} 2 \\ -1 \\ -1 \end{bmatrix}.$$

Thus, the projection of  $\vec{e}_1$  onto the plane  $V$  is

$$P(\vec{e}_1) = \frac{1}{3} \begin{bmatrix} 2 \\ -1 \\ -1 \end{bmatrix}.$$

as before. The calculations for  $P(\vec{e}_2)$  and  $P(\vec{e}_3)$  are similar.

**Follow-up Exercise:** Derive the formula from the above calculations.

(c) Since  $P$  is a projection, we already know that  $P^2 = P$ . This is because if a vector is already in the plane  $V$ , then  $P$  does nothing to it.

## D. Basis, Coordinates, Dimension

10. (Identifying subspaces. Finding a basis) Let

$$S = \{(x, y, z, w) \in \mathbb{R}^4 \mid x + 2y - z = 0, \quad y + w = 0\}.$$

(a) Prove that  $S$  is a subspace of  $\mathbb{R}^4$ .

**Solution.** We identify elements of  $S$  with the *kernel* of the linear transformation  $T : \mathbb{R}^4 \rightarrow \mathbb{R}^2$  which is represented by the matrix

$$A = \begin{bmatrix} 1 & 2 & -1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$$

since

$$A\vec{x} = \begin{bmatrix} 1 & 2 & -1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix} = \vec{0} \iff x + 2y - z = y + w = 0.$$

Since we know that the kernel of any linear transformation  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is a subspace of  $\mathbb{R}^n$ , we are done.

(b) Find a basis for  $S$  and determine its dimension.

**Solution.** Let  $\vec{c}_1, \vec{c}_2, \vec{c}_3, \vec{c}_4$  be the column vectors of  $A$ . Then

- $\vec{c}_2 = 2\vec{c}_1 + \vec{c}_4 \implies 2\vec{c}_1 - \vec{c}_2 + \vec{c}_4 = \vec{0}$ .
- $\vec{c}_3 = -\vec{c}_1 \implies \vec{c}_1 + \vec{c}_3 = \vec{0}$ .

Therefore the kernel of  $A$  (and hence  $S$ ) is spanned by

$$\vec{v}_1 = \begin{bmatrix} 2 \\ -1 \\ 0 \\ 1 \end{bmatrix}, \quad \vec{v}_2 = \begin{bmatrix} 1 \\ 0 \\ 1 \\ 0 \end{bmatrix}$$

These vectors are clearly linearly independent and therefore form a basis for  $S$ .

(c) Write  $S$  explicitly as the span of your basis vectors (i.e. give a parametric description).

**Solution.** We can put the augmented matrix for the kernel into RREF:

$$\left[ \begin{array}{cccc|c} 1 & 2 & -1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \end{array} \right] \xrightarrow{-2R_2+R_1 \rightarrow R_1} \left[ \begin{array}{cccc|c} 1 & 0 & -1 & -2 & 0 \\ 0 & 1 & 0 & 1 & 0 \end{array} \right]$$

Thus the free variables are  $z, w$ . The parametric equations for the solution are

$$\begin{aligned} x &= s + 2t \\ y &= -t \\ z &= s \\ w &= t. \end{aligned}$$

We conclude that

$$S = \{ \vec{v} \in \mathbb{R}^2 \mid \vec{v} = t\vec{v}_1 + s\vec{v}_2 \text{ for some } s, t \in \mathbb{R} \}$$

11. **(Change of basis matrix)** Let  $B = \{\vec{b}_1, \vec{b}_2\}$  be the ordered basis for the plane  $W \subseteq \mathbb{R}^3$  with

$$\vec{b}_1 = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}, \quad \vec{b}_2 = \begin{bmatrix} 2 \\ -1 \\ 1 \end{bmatrix}.$$

Consider  $\vec{v} = \begin{bmatrix} 4 \\ 1 \\ 1 \end{bmatrix}$ .

- (a) Show that  $\vec{v} \in W$ .

**Solution.** Write

$$\begin{bmatrix} 1 & 2 & 4 \\ 1 & -1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \xrightarrow{(-1/3)(-R_1+R_2) \rightarrow R_2} \begin{bmatrix} 1 & 2 & 4 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix} \xrightarrow{R_3-R_1 \rightarrow R_1} \begin{bmatrix} 1 & 2 & 4 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix} \xrightarrow{-2R_2+R_1 \rightarrow R_1} \begin{bmatrix} 1 & 0 & 2 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

so

$$\vec{v} = 2\vec{b}_1 + \vec{b}_2 \in W.$$

- (b) Let  $B' = \{\vec{b}'_1, \vec{b}'_2\}$  where  $\vec{b}'_1 = b_1 + b_2$  and  $\vec{b}'_2 = 2b_1 - b_2$ . Find the matrix  $T_{B \rightarrow B'}$  which satisfies  $T(\vec{b}_i) = \vec{b}'_i$ . Compute the coordinates of  $\vec{v}$  in the basis  $B'$ .

**Solution.** We have

$$\begin{bmatrix} \vec{b}'_1 \\ \vec{b}'_2 \end{bmatrix}_B = \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \quad \begin{bmatrix} \vec{b}'_2 \\ \vec{b}'_1 \end{bmatrix}_B = \begin{bmatrix} 2 \\ -1 \end{bmatrix}.$$

Thus the matrix which converts  $B'$ -coordinates to  $B$ -coordinates is

$$A = \begin{bmatrix} 1 & 2 \\ 1 & -1 \end{bmatrix}, \quad [\vec{v}]_B = A[\vec{v}]_{B'}.$$

Therefore,

$$T_{B \rightarrow B'} = A^{-1} = \frac{1}{3} \begin{bmatrix} 1 & 2 \\ 1 & -1 \end{bmatrix}.$$

From part (a) we know that  $[\vec{v}]_B = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$ . Therefore,

$$[\vec{v}]_{B'} = \frac{1}{3} \begin{bmatrix} 1 & 2 \\ 1 & -1 \end{bmatrix} \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \frac{1}{3} \begin{bmatrix} 4 \\ 1 \end{bmatrix}.$$

12. **(Writing a matrix in a different basis)** For each of the cases below, find the matrix  $B$  of the linear transformation  $T(\vec{x}) = A\vec{x}$  with respect to the basis  $\mathcal{B} = \{\vec{v}_1, \vec{v}_2\}$ . Assume that  $A$  is the matrix corresponding to  $T$  with respect to the standard basis. Solve the problem in three ways.

- Use the formula  $B = S^{-1}AS$
- Use a commutative diagram (see examples 3, 4 in section 3.4 in Bretscher).
- construct  $B$  directly column by column.

- $A = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$      $\vec{v}_1 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ ,     $\vec{v}_2 = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$ .

**Solution.**

(a) We have

$$S = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \quad S^{-1} = \frac{1}{-2} \begin{bmatrix} -1 & -1 \\ -1 & 1 \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}.$$

Compute

$$AS = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}, \quad B = S^{-1}(AS) = \begin{bmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & -\frac{1}{2} \end{bmatrix} \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

(b) Let  $\Phi : \mathbb{R}_{\mathcal{B}}^2 \rightarrow \mathbb{R}_{\text{std}}^2$  be the coordinate isomorphism  $\Phi(\vec{y}) = S\vec{y}$ . Then the diagram

$$\begin{array}{ccc} \mathbb{R}_{\mathcal{B}}^2 & \xrightarrow{\Phi=S} & \mathbb{R}_{\text{std}}^2 \\ T_{\mathcal{B}}=B \downarrow & & \downarrow T=A \\ \mathbb{R}_{\mathcal{B}}^2 & \xrightarrow{\Phi=S} & \mathbb{R}_{\text{std}}^2 \end{array}$$

commutes iff  $B = S^{-1}AS$  (as justified above). To read off the columns using the diagram, test the basis coordinate vectors:

$$\vec{e}_1 \xrightarrow{\Phi} \vec{v}_1 \xrightarrow{T} A\vec{v}_1 = \vec{v}_1 \xrightarrow{\Phi^{-1}} \vec{e}_1, \quad \vec{e}_2 \xrightarrow{\Phi} \vec{v}_2 \xrightarrow{T} A\vec{v}_2 = -\vec{v}_2 \xrightarrow{\Phi^{-1}} -\vec{e}_2.$$

Thus the first column of  $B$  is  $\vec{e}_1$  and the second is  $-\vec{e}_2$ , so  $B = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ .

**Remark:** The main point of the diagram is to show why the formula  $B = S^{-1}AS$  works.

(c) By definition, the  $i$ -th column of  $B$  is  $[T(\vec{v}_i)]_{\mathcal{B}}$ . Compute

$$T(\vec{v}_1) = A \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \vec{v}_1 \quad \Rightarrow \quad [T(\vec{v}_1)]_{\mathcal{B}} = \begin{bmatrix} 1 \\ 0 \end{bmatrix},$$

$$T(\vec{v}_2) = A \begin{bmatrix} 1 \\ -1 \end{bmatrix} = \begin{bmatrix} -1 \\ 1 \end{bmatrix} = -\begin{bmatrix} 1 \\ -1 \end{bmatrix} = -\vec{v}_2 \quad \Rightarrow \quad [T(\vec{v}_2)]_{\mathcal{B}} = \begin{bmatrix} 0 \\ -1 \end{bmatrix}.$$

Therefore

$$B = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

- $A = \begin{bmatrix} -3 & 4 \\ 4 & 3 \end{bmatrix}$      $\vec{v}_1 = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$ ,     $\vec{v}_2 = \begin{bmatrix} -2 \\ 1 \end{bmatrix}$

**Solution.** For this part, I calculate  $B$  only in one way.

$$S = \begin{bmatrix} 1 & -2 \\ 2 & 1 \end{bmatrix}, \quad S^{-1} = \frac{1}{1+4} \begin{bmatrix} 1 & 2 \\ -2 & 1 \end{bmatrix} = \frac{1}{5} \begin{bmatrix} 1 & 2 \\ -2 & 1 \end{bmatrix}.$$

Thus

$$\begin{aligned} B &= S^{-1}AS \\ &= \frac{1}{5} \begin{bmatrix} 1 & 2 \\ -2 & 1 \end{bmatrix} \begin{bmatrix} -3 & 4 \\ 4 & 3 \end{bmatrix} \begin{bmatrix} 1 & -2 \\ 2 & 1 \end{bmatrix} \\ &= \frac{1}{5} \begin{bmatrix} 1(-3) + 2(4) & 1(4) + 2(3) \\ -2(-3) + 1(4) & -2(4) + 1(3) \end{bmatrix} \begin{bmatrix} 1 & -2 \\ 2 & 1 \end{bmatrix} \\ &= \frac{1}{5} \begin{bmatrix} 5 & 10 \\ 10 & -5 \end{bmatrix} \begin{bmatrix} 1 & -2 \\ 2 & 1 \end{bmatrix} \\ &= \frac{1}{5} \begin{bmatrix} 5(1) + 10(2) & 5(-2) + 10(1) \\ 10(1) + (-5)(2) & 10(-2) + (-5)(1) \end{bmatrix} \\ &= \begin{bmatrix} 5 & 0 \\ 0 & -5 \end{bmatrix}. \end{aligned}$$

## E. Challenge Problem

Fix a unit vector  $\vec{u} \in \mathbb{R}^3$ . Define  $C : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  by

$$C(\vec{x}) = \vec{u} \times \vec{x}.$$

- Show that  $C$  is linear and find a  $3 \times 3$  matrix for  $C$  in terms of the coordinates of  $\vec{u}$ .
- Describe  $\ker C$  and  $\text{im } C$ .
- Compute  $C^2(\vec{x})$  and simplify your expression using vector identities. What does  $C^2$  do geometrically to the components of  $\vec{x}$  parallel and perpendicular to  $\vec{u}$ ?
- Using (c), write a simple closed form for  $C^{2n}$  and  $C^{2n+1}$  for  $n \geq 0$ .

### Solution.

(a) Recall that, in coordinates,

$$\vec{u} \times \vec{x} = \begin{bmatrix} u_2x_3 - u_3x_2 \\ u_3x_1 - u_1x_3 \\ u_1x_2 - u_2x_1 \end{bmatrix}.$$

We thus observe that  $A_C$  is given by

$$\begin{bmatrix} 0 & -u_3 & u_2 \\ u_3 & 0 & -u_1 \\ -u_2 & u_1 & 0 \end{bmatrix}.$$

(b) The *image* of  $C$  is the *span* of the vectors

$$\begin{bmatrix} 0 \\ u_3 \\ -u_2 \end{bmatrix}, \quad \begin{bmatrix} -u_3 \\ 0 \\ u_1 \end{bmatrix}, \quad \begin{bmatrix} u_2 \\ -u_1 \\ 0 \end{bmatrix}.$$

We ask *can we find constants  $a, b,$  and  $c$  such that*

$$a \begin{bmatrix} 0 \\ u_3 \\ -u_2 \end{bmatrix} + b \begin{bmatrix} -u_3 \\ 0 \\ u_1 \end{bmatrix} + c \begin{bmatrix} u_2 \\ -u_1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

Note that  $a = u_1, b = u_2, c = u_3$  solves this system:

$$\begin{bmatrix} 0 - u_2 u_3 + u_2 u_3 \\ u_1 u_3 + 0 - u_3 u_1 \\ -u_2 u_3 + u_2 u_1 + 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}.$$

Thus there is a nontrivial *relation* among the column vectors of  $A_C$ . Thus

$$\text{Im}(C) = \text{span} \left\{ \begin{bmatrix} 0 \\ u_3 \\ -u_2 \end{bmatrix}, \begin{bmatrix} -u_3 \\ 0 \\ u_1 \end{bmatrix} \right\}.$$

Also, note that

$$\langle u_1, u_2, u_3 \rangle \cdot \langle 0, u_3, -u_2 \rangle = 0 \quad \langle u_1, u_2, u_3 \rangle \cdot \langle -u_3, 0, u_1 \rangle = 0.$$

This tells us that the elements in the basis we found for the image of  $C$  are *orthogonal* to  $\vec{u}$ . Therefore **the image of  $C$  is the plane in  $\mathbb{R}^3$  orthogonal to the vector  $\vec{u}$ .**

The *kernel* on the other hand are the vectors *parallel* to  $\vec{u}$ . There are several ways to see this. One way is to consider the coefficients of our relation

$$u_1 \vec{c}_1 + u_2 \vec{c}_2 + u_3 \vec{c}_3 = 0 \quad \implies \quad \langle u_1, u_2, u_3 \rangle \in \ker C \quad \implies \quad \ker C = \text{span} \{ \vec{u} \}.$$

(c) The transformation  $C^2$  corresponds to the matrix  $A_C^2$  which is

$$A_C^2 = \begin{bmatrix} 0 & -u_3 & u_2 \\ u_3 & 0 & -u_1 \\ -u_2 & u_1 & 0 \end{bmatrix} \begin{bmatrix} 0 & -u_3 & u_2 \\ u_3 & 0 & -u_1 \\ -u_2 & u_1 & 0 \end{bmatrix} = \begin{bmatrix} -u_3^2 - u_2^2 & u_2 u_1 & u_3 u_1 \\ u_1 u_2 & -u_3^2 - u_1^2 & u_2 u_3 \\ u_1 u_3 & u_2 u_3 & -u_2^2 - u_1^2 \end{bmatrix}$$

So

$$A_C^2 = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} [u_1 \quad u_2 \quad u_3] - \|\vec{u}\|^2 I_3.$$

Since  $\vec{u}$  is a unit vector by assumption, we have

$$A_C^2 = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} [u_1 \quad u_2 \quad u_3] - I_3.$$

Let  $\vec{x} = \vec{x}_{\parallel} + \vec{x}_{\perp}$  where  $\vec{x}_{\parallel}$  is parallel to the vector  $\vec{u}$  and  $\vec{x}_{\perp}$  is perpendicular to  $u$ . Then  $\vec{x}_{\parallel} \in \ker C \subseteq \ker C^2$ . Thus

$$\begin{aligned} C^2(\vec{x}) &= \vec{u} \vec{u}^{\top} \vec{x} - \vec{x} \\ &= \vec{u} \vec{u}^{\top} \vec{x}_{\perp} - \vec{x}_{\perp}. \end{aligned}$$

However, by definition,  $\vec{x}_\perp \cdot \vec{u} = 0$ . Thus

$$C^2(\vec{x}) = -\vec{x}_\perp.$$

(d) In the previous part, we showed that

$$C^2 = P - I$$

where  $P$  is the projection onto the span of  $\vec{u}$  and  $I$  is the identity transformation. Therefore

$$C^{2n} = (C^2)^n = (P - I)^n$$

Next, calculate

$$\begin{aligned} (P - I)^2 &= P^2 - 2P + I = -P + I = -(P - I) \\ (P - I)^3 &= (P - I)(P - I)^2 = -(P - I)(P - I) = -(P - I)^2 = (P - I) \\ &\vdots \\ (P - I)^k &= (-1)^{k+1} (P - I). \end{aligned}$$

Thus

- $C^{2n} = (-1)^{n+1} (P - I)$
- $C^{2n+1} = C(-1)^{n+1} (P - I) = (-1)^{n+1} CP + (-1)^n C$

Next, observe that  $CP = PC = 0$  because the image of  $C$  is orthogonal to  $\vec{u}$  and  $P(u^\perp) = 0$ ! We have

$$C^{2n+1} = (-1)^n C.$$